



# LSE SECURITIES LIMITED

(A SUBSIDIARY OF LUDHIANA STOCK EXCHANGE LIMITED)

## FOR INDIVIDUALS

### OPTIONAL / ADDITIONAL DOCUMENTS FROM CLIENT

Branch Head/SUB-BROKER NAME	
CLIENT NAME	
CLIENT CODE	
PAN No.	

**Note :** The information to be given in the Form is the sole property of LSE Securities Limited and would not be disclosed to any one unless required by law or except with the permission of the client.

## LSE SECURITIES LIMITED

Trading cum Clearing Member of NSE (INB231087033), NSE - F&O (INF-231087033) & BSE (INB011087039)  
and  
Depository Participant with NSDL (IN301846) and CDSL (IN25400)

**Registered Office :** SCO 50, 1st Floor, Sector 34-A, Chandigarh. Ph. : 0172-5065460  
**Corporate Office :** 1st Floor, Ludhiana Stock Exchange Building, Feroze Gandhi Market, Ludhiana-141 001 (Punjab)  
Phone : 0161-4612317, 4612318, 5068131 Fax : 0161-3011157, 5068138, 2404748  
Email : admin@lssl.com, kyc@lssl.com, igc@lssl.com

**DOCUMENT IS VOLUNTARY (To be signed at the discretion of client)**

**DECLARATION TO BE GIVEN BY GUARDIAN (IN CASE OF ACCOUNT OPENING FOR MINOR)**

**LSE Securities Limited**  
**1st Floor, LSE Building,**  
**Feroze Gandhi Market,**  
**Ludhiana - 141 001.**

**Photograph**

Please sing  
across the  
Photograph

REF :- **CLIENT CODE** \_\_\_\_\_

**Dear Sir,**

This is with reference to the above account opened in the name of Master/ Miss. \_\_\_\_\_  
\_\_\_\_\_ (Name of Minor and Client Code)  
\_\_\_\_\_ with you for the purpose of dealing in securities on NSE and BSE.

With reference to the said trading account, you are requested to accept or receive funds/ securities from and make payment of funds/ transfer of securities to the account of my son/ daughter, Master/ Ms. \_\_\_\_\_ as per the details given below for all the dealings in the said trading account :

Bank Account No.	
Bank Name	
Branch	
Client ID No.	
DP ID No.	
DP Name	

Kindly do the needful. Thanking you.

Yours faithfully,

ⓧ

(SIGNATURE OF THE PARENT/ GUARDIAN)

Date :

Place :

**DOCUMENT IS VOLUNTARY (To be signed at the discretion of client)**

**M/s LSE Securities Limited**  
**1st Floor, Stock Exchange Building,**  
**Feroze Gandhi Market,**  
**Ludhiana - 141 001.**

SUB:- DECLARATION WITH REGARD TO CLIENT WITH LSE SECURITIES LTD.  
THROUGH STOCKBROKER (NAME OF THE SUB-BROKER)

Dear Sir,

This is with reference to Tripartite Agreement dated \_\_\_\_\_ executed between LSE Securities Limited, Stock Broker having SEBI Registration No. INB231087033 (NSE-Cash Segment) and INB011087039 (BSE-Cash Segment), M/s. \_\_\_\_\_, Sub-broker affiliated to LSE Securities Limited and \_\_\_\_\_, the client.

In connection with the availing of the trading services of M/s LSE Securities Limited through \_\_\_\_\_ (Name of the Sub-broker), I hereby state as under :

1. I/ We understand that I/We am/are registered as a client of M/s LSE Securities Limited through \_\_\_\_\_ (name of the sub-broker).
2. For facilitation of the transactions, I/We hereby authorize \_\_\_\_\_ (Sub-broker's Name) to place dealing orders through M/s LSE Securities Limited on my behalf.
3. I/We shall be bound by all actions taken by \_\_\_\_\_ (Sub-broker's name) on my/our behalf and shall ratify all acts done by M/s LSE Securities Limited on the instructions of \_\_\_\_\_. (Sub-broker's Name).
4. I understand that you require written instructions for receiving/ modifying or cancelling orders. However, since it is not practical to give written instructions for every order, I hereby authorize you to kindly accept my verbal instructions/ orders over phone and execute the same.
5. Since you are issuing contract notes bearing order numbers and trade numbers on a daily basis, please do not issue the order/ trade confirmation slips as generated from the Trading Terminal, unless otherwise requested by me/us in writing.
6. All payments relating to transactions executed through LSE Securities Limited shall be made by me/ us only through cheque/ demand draft drawn in favour of "LSE Securities Limited A/c \_\_\_\_\_ (Sub-broker's name). Further, the cheques for payments shall be from the bank account which has been mentioned by me/ us in my/ our Client Registration Form.
7. All securities against my obligations shall be delivered through the Demat Account which was been mentioned in my/ our Client Registration Form.
8. M/s LSE Securities Limited shall not be responsible for any of my/our personal dealings with \_\_\_\_\_ (Sub-broker's Name) or its employees.
9. Any grievance with regard to the transactions shall be directed to LSE Securities Limited at the aforementioned address.

In acceptance of all the above clauses and the clauses in the Tripartite Agreement executed by me/us, I/We requested you to register me/us as client.

Thanking you,

Yours faithfully,

**Name of the Client** : (X)

**Signature of the Client** :

Date :

Place :

Accepted

**Sub-broker's Signature and Stamp**

**DOCUMENT IS VOLUNTARY (To be signed at the discretion of client)**

## **MEMBER-CLIENT AGREEMENT**

**(FOR TRADING THROUGH INTERNET)**

This forms a part and parcel of Tripartite Agreement (Cash Segment - NSE) dated \_\_\_\_\_ executed between LSE Securities Limited, Member, **National Stock Exchange of India Limited** having its Registered Office at SCO 50, 1<sup>st</sup> Floor, Sector 34-A, Chandigarh and \_\_\_\_\_, Sub-broker, LSE Securities Limited and having its Office / Registered office at \_\_\_\_\_ and Client Mr./Ms./M/s \_\_\_\_\_

having his / her / its residence / office at \_\_\_\_\_ and \_\_\_\_\_

Member Client Agreement (Derivatives Segment - NSE) dated \_\_\_\_\_ executed between LSE Securities Limited, Member, **National Stock Exchange of India Limited** having its Registered Office at SCO 50 1<sup>st</sup>, Floor, Sector 34-A, Chandigarh and client Mr./Mrs./M/s \_\_\_\_\_ having his / her / its residence / office at \_\_\_\_\_

### **WITNESSTH :**

Whereas the MEMBER is registered as TRADING MEMBER of National Stock Exchange of India Ltd. with SEBI Registration No. : INB231087033 and INF231087033.

Whereas the CLIENT is desirous of investing/trading in those securities admitted for dealing on the Exchange as defined in the Bye-Laws of the Exchange.

Whereas the CLIENT has satisfied itself of the capability of the MEMBER to deal in securities and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER before executing orders through him.

Whereas the MEMBER has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and investment objectives relevant to the services to be provided.

Whereas the MEMBER has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER's liability for business to be conducted, including any limitations on that liability and the capacity in which it acts.

In consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions :

1. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations and guidelines issued by SEBI and Stock Exchange rules, regulations and Bye-laws that may be in force from time to time.
2. In the event of death or insolvency of the client or his otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, MEMBER may close out the transaction of the client and the client or his legal representative shall be liable for any losses, costs and be entitled to any surplus which may result therefrom.
3. The agreement entered into between the MEMBER and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one month written notice. Such cancellation or termination shall not have any effect on transaction executed before the date of such notice of termination and the parties shall enjoy the same rights and shall have same obligations in respect of such transactions.

4. The instructions issued by an authorized representative of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the client.
5. The CLIENT is aware that authentication technologies and strict security measures are required for the internet trading through order routed system and undertakes to ensure that the password of the CLIENT and/or his authorised representative are not revealed to any third party.
6. The CLIENT agrees that the MEMBER shall not be liable or responsible for non-execution of the orders of the CLIENT due to any link/system failure at the CLIENT/MEMBERS/EXCHANGE end.
7. The Stock Exchange may cancel a trade suo-moto without giving any reason thereof. In the event of such cancellation, MEMBER shall be entitled to cancel relative contract(s) with CLIENT.
8. The MEMBER shall also send the Order/Trade confirmation slip through E-mail to the CLIENT at his request, within\_\_\_\_\_ (time period as specified by the Client) from the time of execution of order/trade on the NEAT system, as the case may be. The CLIENT agrees that the information sent by MEMBER by E-mail is deemed to be valid delivery of such information by the MEMBER.
9. The CLIENT is aware that the MEMBER has provided on the web site a facility for reconfirmation of orders, which are larger than that specified by the MEMBER's risk management, by the MEMBER and is also aware that the MEMBER has the discretion to reject the execution of such orders based on his risk perception.
10. The MEMBER and the CLIENT are aware of the provisions of Bye-Laws, Rules and regulations of the Exchange relating to resolution of disputes/differences through the mechanism of arbitration provided by the Exchange and agree to abide by the said provisions.
11. All trades, transactions and contracts are subject to the Rules and Regulations of the Principal Stock Exchange on which trades have been executed and the parties to such shall be deemed to have submitted to Jurisdiction of the Courts in Mumbai if Principal Stock Exchange is a party and in other cases to the Jurisdiction of the Courts in Ludhiana for the purpose of giving effect the provisions of the Rules, Bye-Laws and Regulations of the Exchange (s).

**IN WITNESS THEREOF**, the parties to agreement have caused these presents to be executed as of the day and year first above written.

Signed for and on behalf of

**MEMBER** :

By :

Signature :

Title :

Witness :

Signed for and on behalf of

**CLIENT** :

By :

Signature :

Title :

Witness :

**DOCUMENT IS VOLUNTARY (To be signed at the discretion of client)**

## **MEMBER-CLIENT AGREEMENT**

**(FOR TRADING THROUGH INTERNET)**

This forms a part and parcel of Tripartite Agreement (Cash Segment - BSE) dated \_\_\_\_\_ executed between LSE Securities Limited, Member, **THE STOCK EXCHANGE, MUMBAI** having its Registered Office at SCO 50, 1<sup>st</sup> Floor, Sector 34-A, Chandigarh and \_\_\_\_\_, Sub-broker, LSE Securities Limited and having its Office / Registered office at \_\_\_\_\_

\_\_\_\_\_ and Client  
Mr./Ms./M/s \_\_\_\_\_

having his / her / its residence / office at \_\_\_\_\_

### **WITNESSTH :**

Whereas the MEMBER is registered as TRADING MEMBER of THE STOCK EXCHANGE, MUMBAI with SEBI Registration No. : INB-011087039.

Whereas the CLIENT is desirous of investing/trading in those securities admitted for dealing on the Exchange as defined in the Bye-Laws of the Exchange.

Whereas the CLIENT has satisfied itself of the capability of the MEMBER to deal in securities and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER before executing orders through him.

Whereas the MEMBER has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and investment objectives relevant to the services to be provided.

Whereas the MEMBER has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER's liability for business to be conducted, including any limitations on that liability and the capacity in which it acts.

In consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions :

1. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations and guidelines issued by SEBI and Stock Exchange rules, regulations and Bye-laws that may be in force from time to time.
2. In the event of death or insolvency of the client or his otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, MEMBER may close out the transaction of the client and the client or his legal representative shall be liable for any losses, costs and be entitled to any surplus which may result therefrom.
3. The agreement entered into between the MEMBER and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one month written notice. Such cancellation or termination shall not have any effect on transaction executed before the date of such notice of termination and the parties shall enjoy the same rights and shall have same obligations in respect of such transactions.
4. The instructions issued by an authorized representative of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the client.
5. The CLIENT is aware that authentication technologies and strict security measures are required for the internet trading through order routed system and undertakes to ensure that the password of the CLIENT and/or his authorised representative are not revealed to any third party.

6. The CLIENT agrees that the MEMBER shall not be liable or responsible for non-execution of the orders of the CLIENT due to any link/system failure at the CLIENT/MEMBER/EXCHANGE end.
7. The Stock Exchange may cancel a trade suo-moto without giving any reason thereof. In the event of such cancellation, MEMBER shall be entitled to cancel relative contract(s) with CLIENT.
8. The MEMBER shall also send the Order/Trade confirmation slip through E-mail to the CLIENT at his request, within\_\_\_\_\_ (time period as specified by the Client) from the time of execution of order/trade on the NEAT system, as the case may be. The CLIENT agrees that the information sent by MEMBER by E-mail is deemed to be valid delivery of such information by the MEMBER.
9. The CLIENT is aware that the MEMBER has provided on the web site a facility for reconfirmation of orders, which are larger than that specified by the MEMBER's risk management, by the MEMBER and is also aware that the MEMBER has the discretion to reject the execution of such orders based on his risk perception.
10. The MEMBER and the CLIENT are aware of the provisions of Bye-Laws, Rules and regulations of the Exchange relating to resolution of disputes/differences through the mechanism of arbitration provided by the Exchange and agree to abide by the said provisions.
11. All trades, transactions and contracts are subject to the Rules and Regulations of the Principal Stock Exchange on which trades have been executed and the parties to such shall be deemed to have submitted to Jurisdiction of the Courts in Mumbai if Principal Stock Exchange is a party and in other cases to the Jurisdiction of the Courts in Ludhiana for the purpose of giving effect the provisions of the Rules, Bye-Laws and Regulations of the Exchange (s).

**IN WITNESS THEREOF**, the parties to agreement have caused these presents to be executed as of the day and year first above written.

Signed for and on behalf of

**MEMBER** :

By :

Signature :

Title :

Witness :

Signed for and on behalf of

**CLIENT** :

By :

Signature :

Title :

Witness :

**DOCUMENT IS VOLUNTARY (To be signed at the discretion of client)**

**AUTHORISATION LETTER BY CLIENT TO MEMBER BROKER FOR GIVING AUTHORITY  
TO THIRD PERSON TO ACT ON HIS BEHALF (IF REQUIRED)**

**LSE Securities Limited**  
**1st Floor, LSE Building,**  
**Feroze Gandhi Market,**  
**Ludhiana - 141 001.**

**REG:- AUTHORITY TO ACT ON MY  
BEHALF REF:- TRIPARTITE AGREEMENT**

I/We have aliete executed with you Tripartite Agreement dated\_\_\_\_\_. Pursuant to said agreement I/ We hereby authorize Mr. \_\_\_\_\_ whose signature is appended below to

1. Place orders with/ give instructions to LSE Securities Limited for buying and selling of share and securities at the BSE and NSE, through telephone, voice mail, e-mail, fax letter or other mode/means of communication as may be thought necessary from time to time for the aforesaid purpose on my behalf.
2. Sign, execute and deliver orders, instructions, letters, accept notes, contracts and such other documents as may be considered necessary from time to time for the aforesaid purpose, on my behalf and
3. Take all such actions and do all such things as may be deemed prudent, necessary and expedient for giving effects to the above authorizations from time to time on my behalf.

I hereby confirm that any action taken by any or both of you on the basis of this authorization shall be binding on me.

**Name of the Authorised Person :**

**Signature of the Authorised Person :**

Date :

Place :

**Name of the Client : (X)**

**Signature of the Client : (X)**

Date :

Place :



**DOCUMENT IS VOLUNTARY (To be signed at the discretion of client)**

**DECLARATION BY FIRM (SOLE PROPRIETORSHIP) ON LETTER HEAD OF THE FIRM**

**LSE Securities Limited**  
**1st Floor, LSE Building,**  
**Feroze Gandhi Market,**  
**Ludhiana - 141 001.**

**Dear Sir,**

This has reference to the trading account opened with you in the name of \_\_\_\_\_.

In this context, I declare and authorize you as under :

I understand that a beneficiary account cannot be opened with a depository participant in the name of a sole proprietorship firm as per Regulations. As such, in order to facilitate the operation of the above trading account with you and for the purpose of completion of obligations relating to securities pursuant to the trading operation, I authorize you to recognize the beneficiary account No. \_\_\_\_\_ with Depository Participant \_\_\_\_\_ opened in the name of the undersigned who is the proprietor of the firm.

I agree that the obligations for shares purchased and/or sold by the firm will be handled and completed through transfers to/ from the above mentioned account. I recognize and accept transfers made by you to the beneficiary account as complete discharge of obligations by you in respect of trades executed in the above trading account of the firm.

Further I the undersigned am the sole proprietor of the firm and am solely responsible for the liabilities thereof. I shall advise you in writing of any change that takes place in the constitution of the firm and I will be personally liable to you for all the obligations that the firm may incur in the course of dealings with you and undertake to personally discharge such liabilities.

Yours truly,

**Name of the Client :**

**Signature of the Client with Stamp : (X)**

Date :

Place :

**DOCUMENT IS VOLUNTARY (To be signed at the discretion of client)**

**AUTHORIZATION FOR MAINTENANCE OF RUNNING ACCOUNT**

**LSE Securities Limited**  
**1st Floor, LSE Building,**  
**Feroze Gandhi Market,**  
**Ludhiana - 141 001.**

**Dated :**

**REG. :- MAINTENANCE OF MY/OUR ACCOUNT ON A RUNNING ACCOUNT BASIS**

Dear Sir,

Notwithstanding anything contrary contained in the Tripartite Agreement (Cash Segment NSE/BSE) dated \_\_\_\_\_ executed between LSE Securities Limited, Trading Member, M/s \_\_\_\_\_, Sub-broker and \_\_\_\_\_, Client and Member Client Agreement (Futures & Options Segment NSE) dated \_\_\_\_\_ executed between LSE Securities Limited, Trading Member & \_\_\_\_\_ client, I/We hereby request you to maintain my/our account, both Securities and Funds, with you on a running account basis. This will facilitate me / us in my / our transactions through LSE Securities Limited. I/We also request you to consider the balances in my/ our running funds and securities account with you for the purpose of any obligation due to you.

1. In the view of above, I/we understand that running account authorization can be revoked at any time by me/us and till then authorization will continue.
2. The authorization shall be signed by me/us only and not by any authorised person on my/our behalf or any holder of the Power of Attorney.
3. I/we accept maintenance of my running account basis, provided actual settlement of funds will be done on monthly/quartely basis.
4. All the disputes arising of the settlement as above said shall be brought to the notice of LSESL within 7 working days from the date of receipt of funds/securities or statement, as the case may be.

**UNIQUE CLIENT CODE OF THE CLIENT :** \_\_\_\_\_

**NAME OF THE CLIENT :** \_\_\_\_\_

**SIGNATURE OF CLIENT :** \_\_\_\_\_

**DOCUMENT IS VOLUNTARY (To be signed at the discretion of client)**  
(To be signed only in case of joint demat account)

**CONSENT OF JOINT HOLDERS IN RESPECT OF JOINT DEMAT ACCOUNT**

**LSE Securities Limited**  
**1st Floor, LSE Building,**  
**Feroze Gandhi Market,**  
**Ludhiana - 141 001.**

With reference to the Tripartite Agreement dated \_\_\_\_\_ executed between LSE Securities Limited, M/s. \_\_\_\_\_ (Name of the Sub-broker) and Mr. \_\_\_\_\_ (Name of the Client), I/we declare as under :

1. I/we am/are aware that Mr./Ms. \_\_\_\_\_ (Name of the Client) is registered with LSE Securities Limited as a client for availing trading facilities on NSE/BSE.
2. I/we am/are having a joint Demat Account Number \_\_\_\_\_ with \_\_\_\_\_ (Name of DP) wherein Mr./Ms. \_\_\_\_\_ who has been trading through LSE Securities Limited on NSE/BSE, is the first holder.
3. I/we do not have any objection in case the securities lying in the aforesaid Demat Account at any point of time are utilized by Mr./Ms. \_\_\_\_\_ (Name of the Client) for meeting his obligations towards securities/any other dues in respect of the transactions undertaken by him through LSE Securities Limited.
4. I/we do not have any objection in case the securities due to Mr./Ms. \_\_\_\_\_ (Name of the Client) from LSE Securities Limited from time to time in respect of his transactions through LSE Securities Limited are credited to the aforesaid Demat Account.

Date :	Name(s)	Signature
	1st Holder _____	⊗ _____
Place :	2nd Holder _____	⊗ _____
	3rd Holder _____	⊗ _____
Name of the Client _____		
Signature of the Client _____		

**DOCUMENT IS VOLUNTARY (To be signed at the discretion of client)**  
(To be signed only in case of joint bank account)

**CONSENT OF JOINT HOLDERS IN RESPECT OF JOINT BANK ACCOUNT**

**LSE Securities Limited**  
**1st Floor, LSE Building,**  
**Feroze Gandhi Market,**  
**Ludhiana - 141 001.**

With reference to the Tripartite Agreement dated \_\_\_\_\_ executed between LSE Securities Limited, M/s. \_\_\_\_\_ (Name of the Sub-broker) and Mr./Ms. \_\_\_\_\_ (Name of the Client), I/we declare as under :

1. I/we am/are aware that Mr./Ms. \_\_\_\_\_ (Name of the Client) is registered with LSE Securities Limited as a client for availing trading facilities on NSE/BSE.
2. I/we am/are having a joint Bank Account Number \_\_\_\_\_ (Name of Bank) with \_\_\_\_\_ (Name of Bank) wherein Mr./Ms. \_\_\_\_\_ who has been trading through LSE Securities Limited on NSE/BSE, is the Client.
3. I/we do not have any objection in case the securities lying in the aforesaid Bank Account at any point of time are utilized by Mr./Ms. \_\_\_\_\_ (Name of the Client) for meeting his obligations towards securities/any other dues in respect of the transactions undertaken by him through LSE Securities Limited.
4. I/we do not have any objection in case the securities due to Mr./Ms. \_\_\_\_\_ (Name of the Client) from LSE Securities Limited from time to time in respect of his transactions through LSE Securities Limited are credited to the aforesaid Bank Account.

Date :	Name(s)	Signature
	1st Holder _____	⊗ _____
Place :	2nd Holder _____	⊗ _____
	3rd Holder _____	⊗ _____
Name of the Client _____		
Signature of the Client _____		

## **INVESTOR EDUCATION FOR DEALING IN SECURITIES**

### **DOs :**

- ☼ Deal only through SEBI registered intermediaries.
- ☼ Complete all the required formalities of opening account properly (Client registration, Client agreement forms etc.)
- ☼ Assess the risk - return profile of the investment as well as the liquidity and safety aspects before making your investment decision.
- ☼ Invest based on sound reasoning after taking into account all publicly available information and on fundamentals.
- ☼ Insist on a contract note for your transaction. Verify all details in contract note, immediately on receipt.
- ☼ Keep copies of all your investment documentation.
- ☼ Handle Delivery Instruction Slips (DIS) Book issued by DPs carefully.
- ☼ Pay the margins required to be paid in the time prescribed.

### **DON'Ts :**

- ☼ Don't undertake off-market transactions in securities.
- ☼ Don't deal with unregistered intermediaries.
- ☼ Don't invest on the basis of rumors; verify before investment.
- ☼ Don't forget to take note of risks involved in the investment.
- ☼ Don't be misled by so called hot tips. Don't try to time the market.
- ☼ Don't leave signed blank Delivery Instruction Slips of your demat account lying around carelessly or with anyone.

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### **Your Rights as a Shareholder :**

- To receive copies of the Annual Report containing the Balance Sheet, the Profit & Loss account and the Auditor's Report.
- To participate and vote in general meetings either personally or through proxy.
- To receive dividends in due time once approved in general meetings.
- To receive corporate benefits like rights, bonus, etc. once approved.
- To apply to Company Law Board (CLB) to call or direct the Annual General Meeting.
- To approach against the company by way of civil or criminal proceedings.

- To call for an Extra-ordinary General meeting.
- To demand a poll on any resolution.
- To apply to CLB to investigate the affairs of the company, seek relief in cases of oppression and/or mismanagement.

### **Your Responsibilities are :**

- To be specific
- To remain informed
- To be vigilant
- To participate and vote in general meetings
- To exercise your rights on your own or as a group

**LSE SECURITIES LIMITED - PROMOTING INVESTOR EDUCATION**

## *North India's Leading Broking House Offers*

*On Line Trading at NSE, BSE, MCX-SX*  
(Access to largest markets on a single trading terminal)

*DP Services of NSDL, CDSL & NSEL*  
(Lowest rates, faster settlement & great convenience)

*Large Network of Sub-Brokers*  
(Over 160 Registered Sub-Broker Spreaded all over Punjab, Himachal, Haryana & Delhi)

*Bidding & Collection Centre for IPOs*

*On Line Mutual Fund Buying & Selling*

# **LSE SECURITIES LTD.**

**A SUBSIDIARY OF LUDHIANA STOCK EXCHANGE**

### **REGISTERED OFFICE**

**LSE SECURITIES LTD.**

SCO 50, 1st Floor, Sector 34-A,  
Chandigarh Ph. : 0172-5065460

### **CORPORATE OFFICE :**

**LSE SECURITIES LTD.**

1st Floor, Ludhiana Stock Exchange Building,  
Feroze Gandhi Market, Ludhiana-141 001 (Punjab)  
Ph. : 0161-4612317, 4612318, 5068131

### **DP BRANCHES :**

**AMRITSAR :**

35-36, Deep Complex, Court Road,  
Amritsar, Ph. : 0183-5018601

**FEROZEPUR :**

Near H.M. Sr. School,  
Malwal Road, Ferozepur  
Ph. : 01632-503437-38

**JALANDHAR :**

Milbartan Building, Opp. Girls Govt. Higher  
Secondary School, P.N.B. Chowk, Jalandhar.  
Ph. : 0181-5073480

**UNA :**

Chaudhary Ramsaran, Saini Complex  
Opp. Bus Stand. UNA  
Ph. : 01975-224245

**SANGRUR :**

Near Main Post Office, Banasar Bagh  
Sangrur. Ph. : 01672-503281, 503282

**CHANDIGARH :**

SCO 50, 1st Floor, Sector 34-A,  
Chandigarh Ph. : 0172-5065460